

Research Compliance Activity Summary Report
Fiscal Year 2019 (7/1/2018 to 6/30/2019)

Conflict of Interest (COI)					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Disclosures Received	3381				3381
Disclosures with Outside Interests Reported	188				188
Disclosures Sent to full COI Committee	15				15
Disclosures sent to ARC	25				25
Grants and Clinical Trials Reviewed	108				108
NIH Notifications	12				12
New Management Plans	8				8
New Best Practice Memos	6				6
Total Number of Active Management Plans*	186				n/a
Total Number of Active Best Practice Memos*	224				n/a
Actions Related to Management Plan Non Compliance	0				0
Actions Related to Retrospective Reviews	0				0

*Count of active plans/memos in a current quarter, not a running total.

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	1				1

Export Control					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Contracts/Proposals Reviewed	71				71
MTA's Reviewed	6				6
Visa Applications Reviewed	54				54
Items for Export Reviewed	7				7
Instances of Traveler Assistance	3				3
License Review/OFAC Help	1				1

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	1				1

Reportable Events/Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Actions Related to Technology Control Plan Non Compliance	0				0