

Research Compliance Activity Summary Report
Research Integrity Office (RIO)
Fiscal Year 2016-2017 (7/1/2016 to 6/30/2017)

| Research Misconduct | | | | | |
|-----------------------------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|---------------|
| Area Activities | Q1 (Jul-Sep) | Q2 (Oct-Dec) | Q3 (Jan-Mar) | Q4 (Apr-Jun) | TOTALS |
| Current Active Cases* | 13 | 12 | 10 | 11 | n/a |
| New Allegations | | | | | |
| Allegations Involving Fabrication/Falsification | 3 | 1 | 0 | 2 | 6 |
| Allegations Involving Plagiarism | 2 | 1 | 2 | 0 | 5 |
| Current Active Allegations | | | | | |
| Allegations Involving Fabrication/Falsification* | 2 | 4 | 3 | 4 | n/a |
| Allegations Involving Plagiarism* | 1 | 0 | 2 | 4 | n/a |
| Current Inquiries | | | | | |
| Inquiries Involving Fabrication/Falsification* | 3 | 2 | 3 | 0 | n/a |
| Inquiries Involving Plagiarism* | 1 | 1 | 1 | 1 | n/a |
| Current Investigations | | | | | |
| Investigations Involving Falsification/Fabrication* | 1 | 1 | 2 | 1 | n/a |
| Investigations Involving Plagiarism* | 2 | 2 | 2 | 1 | n/a |
| Currently Closed | | | | | |
| Closed Cases without ongoing activities | 4 | 2 | 2 | 2 | 10 |
| Closed Cases with ongoing activities* | 1 | 1 | 3 | 3 | n/a |

*Count of current activities/allegations/inquiries/investigations in a quarter, not a running total.

| Area Training Activities | Q1 (Jul-Sep) | Q2 (Oct-Dec) | Q3 (Jan-Mar) | Q4 (Apr-Jun) | TOTALS |
|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|---------------|
| Presentations (# of events) | 10 | 2 | 3 | 0 | 15 |